

# Directorate of Sarva Shiksha Abhiyan

(Winter) 105-Karan Nagar, Ambphalla, P/o Rehari, Jammu

(Summer) Green Avenue Colony, Sector -2-F, Lane No. 1,

Bemina Srinagar

Phone / Fax No: 0194-2494288-2494273 (Srinagar)

0191-2563496-2563606 (Jammu)

## EXPRESSION OF INTEREST

Expression of Interest is invited from Chartered Accountants firms (Partnership/Sole proprietorship firms with one full time PCA) in the prescribed format for short listing for the engagement of audit of the accounts of Sarva Shiksha Abhiyan Programme being implemented in the State of J&K as per the enclosed Terms of Reference.

1. The last date for receipt of expression of interest in the specified format shall be 15 days from the date of publication of this advertisement. Incomplete formats /format received after the prescribed last date will not be entertained.

2. The term full time partner/CA employee does not include those persons (Partner/sole) who are :-

(i) Partners in other firms

(ii) Employed part-time/full-time elsewhere, practicing in their own name or engaged in practice otherwise or engaged in any other activity which would be deemed to be in practice under section 2 (2) of the Chartered Accountants Act, 1949.

(iii) Partners who have earned more professional income from other sources than their income from the firm. Similarly, the full time Sole Proprietor does not include a person who is a partner in other firms or is employed elsewhere or other wise engaged in any other business/activity as mentioned above. Accordingly, a person who is a partner/employee in another firm, should not apply in his capacity as Sole Proprietor.

3. The Expression of Interest must be submitted in the prescribed format given in the attachment. Only the Expression of Interest in the prescribed format accompanied with all requisite documents would be considered.

4. All firms are required to enclose the following documents along with the Expression of Interest.

(i) A copy of constitution certificates of firm issued by the ICAI containing inter-alia.

(a) Date of formation of the firms with a full time PCA.

(b) Details of partners/Sole Proprietor/CA Employees as on 1st January of the relevant year, date of joining the firm, date of becoming PCA, their other interest, if any.

(ii) A copy of the latest partnership deed in the case of partnership firms.

(iii) A copy of the acknowledgement of the IT return of the firm and of all full time partners/the Sole proprietor for the relevant Assessment Year.....and a copy of computation of income of full time partners/Sole proprietor.

**Note :** Full time partners joining the firm on or after 1st January of the relevant year and firms constituted on or after this period should submit their latest available acknowledgement of IT return/computation statement.

(iv) A copy of financial statement of the firm along with schedules for the preceding financial year \_\_\_\_\_

(v) Details of court cases/arbitration cases/or any other case pending against the firm.

5. Details of audit experience of the firm for the last 5 years in the following proforma (Only assignments which carry a fee of Rs 25000/- and above should be mentioned).

Name of the area/sector	Name of the company/body audited (a) Society/PSU/autonomous body (b) Companies in private sector (c) Banks (d) Social Sector Programmes/Projects (e) Externally aided social sector projects (f) Education Projects Programmes	Years of audit e.g (a) 2008-09 (b) 2007-08 (c) 2006-07 (d) 2005-06 (e) 2004-05	Fees charged for each of the assignments in each year	Nature of audit assignment viz Statutory audit/or Branch audit	Nature of special assignment	Name of the full time partner who supervised the audit or signed the financial statements and who is still working in the firm

6. The Expression of interest must be delivered by post (in a sealed envelope)/or by hand in the office of the State Project Director, SSA, \_\_\_\_\_ . The Expression of Interest must be addressed to :

The State Project Director,  
Sarva Shiksha Abhiyan,

7. Suitable weightage will be given to firms, which are implementing quality control policies and procedures as provided in statements on Standard Auditing Practices (SAP 17). A brief note on the procedures adopted by them is to be given by the firms for this purpose. (Please refer to Sl No. 12 of the Expression of Interest format).

8. Please indicate :-

The particulars of specialisation gained by the firm in audit of

(i) EDP systems

(ii) IT assisted audit

(iii) Any other important special assignments etc. in the following format.

S. No.	Description of specialization	Specify nature of assignment, if other than audit	Name of the organization	Name of the partner/sole proprietor who handled this assignment	Whether partner/sole proprietor mentioned in is still with the firm (Y/N)

9. All full time partners/sole proprietor should invariably sign the undertaking appended as Section B to the Expression of Interest. Similarly, all the full time Chartered Accountant employees of the firm should sign in the column provided at Annex A-3 to the format.

10. The offers shall be opened on 2nd of September, 2011.

DIP/JK-6984  
Dt : 16/8/11

Sd/-  
Director  
Sarva Shiksha Abhiyan  
Jammu & Kashmir